

Subjective memory complaints, cortical thinning, and cognitive dysfunction in middle-age adults at risk of AD

Stephanie A. Schultz^{a,b}, Jennifer M. Oh^{a,b}, Rebecca L. Kosciak^c, N. Maritza Dowling^{b,d}, Catherine L. Gallagher^{a,b,e}, Cynthia M. Carlsson^{a,b,c}, Barbara B. Bendlin^{a,b,c}, Asenath LaRue^c, Bruce P. Hermann^{b,c,e}, Howard A. Rowley^{b,f}, Sanjay Asthana^{a,b,c}, Mark A. Sager^{b,c}, Sterling C. Johnson^{a,b,c}, Ozioma C. Okonkwo^{a,b,c,*}

^aGeriatric Research Education and Clinical Center, William S. Middleton Memorial Veterans Hospital, Madison, WI

^bWisconsin Alzheimer's Disease Research Center, University of Wisconsin School of Medicine and Public Health, Madison, WI

^cWisconsin Alzheimer's Institute, University of Wisconsin School of Medicine and Public Health, Madison, WI

^dDepartment of Biostatistics and Medical Informatics, University of Wisconsin School of Medicine and Public Health, Madison, WI

^eDepartment of Neurology, University of Wisconsin School of Medicine and Public Health, Madison, WI

^fDepartment of Radiology, University of Wisconsin School of Medicine and Public Health, Madison, WI

Abstract

Background: Subjective memory complaints (SMCs) represent an individual's perception of subtle changes in memory in the absence of objective impairment in memory. However, it is not fully known whether persons with SMCs harbor brain alterations related to Alzheimer's disease (AD) or whether they indeed demonstrate poorer cognitive performance.

Methods: The participants were 261 middle-age adults (mean age 54.30 years) enrolled in the Wisconsin Registry for Alzheimer's Prevention, a registry of cognitively normal adults at risk of AD. They answered a question pertaining to subjective memory, completed a comprehensive neuropsychological examination, and subsequently underwent a volumetric magnetic resonance imaging scan. Cortical thickness measurements were derived from 10 a priori regions of interest involved in AD. Analyses of covariance were conducted to investigate the group differences in cortical thickness and neuropsychological measures.

Results: Compared with individuals without SMCs, those with SMCs had significant cortical thinning in the entorhinal, fusiform, posterior cingulate, and inferior parietal cortices and significantly reduced amygdala volume. Similarly, those with SMCs had significantly lower test scores on measures of Immediate Memory, Verbal Learning & Memory, and Verbal Ability. Additional adjustment for depressive symptoms (which differed between the groups) attenuated only the findings for the entorhinal cortex ($P = .061$) and Verbal Ability ($P = .076$).

Conclusion: At-risk, cognitively healthy individuals with SMCs exhibit cortical thinning in brain regions affected by AD and poorer performance on objective memory tests. These findings suggest that, in some individuals, SMCs might represent the earliest stages of AD.

© 2015 The Alzheimer's Association. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Keywords:

Preclinical AD; Subjective memory complaints; Cortical thickness; Cognition

1. Introduction

Recent years have witnessed an increasing interest in subjective memory complaints (SMCs) as a potential precursor to symptomatic Alzheimer's disease (AD). Although currently no definition has been universally accepted [1],

*Corresponding author. Tel.: 608-265-4479; Fax: 608-265-3091.

E-mail address: ozioama@medicine.wisc.edu

SMCs are generally believed to represent subtle changes in memory that fall below the detection thresholds of common cognitive tests [1]. Furthermore, the question of whether individuals with SMCs are a population with an increased risk of progression to AD remains controversial. Some investigators have suggested that SMCs are characteristic of a “worried well” population; hence, the lack of an association between SMCs and memory performance in such studies [2,3]. In contrast, others have found significant relationships between SMCs and objective cognitive performance [4–6]. For example, a recent study [5], found significant correlations between SMCs and decreased performance on objective measures of episodic memory, working memory, and semantic knowledge. In addition, longitudinal studies have shown SMC groups to have a faster rate of decline on immediate recall and related psychometric measures [4,7].

If SMCs represent an individual’s awareness of early, subtle, changes in cognition, a relationship should be expected between the presence of SMCs and specific AD pathologic processes, such as atrophy of the medial temporal lobes and lateral/middle parietal cortices [8–11]. To date, a number of studies have reported evidence for such brain changes. For example, Jessen et al [12] found a decreased entorhinal cortex volume in individuals with SMCs compared with healthy controls. Additionally, a more recent study [13] found gray matter volume reductions in several brain areas, such as the hippocampus, anterior cingulate, and precuneus in elderly subjects with SMCs. Other studies probing additional known AD biomarkers have found that SMCs are associated with higher amyloid- β deposition [5,14,15]. Taken together, these findings suggest that the memory complaints could reflect actual AD-related brain alterations.

Although brain volume might shrink as a result of either normal aging or a neurodegenerative process such as AD, cortical thinning is believed to be a hallmark feature of AD [16,17]. However, some have reported thinning in the prefrontal cortex as a part of the nonpathologic aging process [18,19]. Although previous studies have examined the relationship between regional brain volume and SMCs, no studies to date have investigated whether SMCs are linked to thinning in AD-sensitive brain regions. Furthermore, most of the studies in this area have focused on cohorts of elderly adults. Thus, it remains unknown whether SMCs in midlife is related to brain and cognitive changes, particularly in an at-risk cohort that might ostensibly be overly sensitive to normal fluctuations in mental function.

Accordingly, in the present study, we examined whether SMCs are associated with thinning of cortical regions involved in AD within a middle-age cohort of cognitively normal individuals with risk factors for AD. Additionally, we also examined how individuals with SMCs perform on objective cognitive tests compared with those without SMCs.

2. Methods

2.1. Participants

The data from 261 middle-age adults from the Wisconsin Registry for Alzheimer’s Prevention (WRAP) cohort were used in the present study. WRAP is a longitudinal registry composed of more than 1500 cognitively normal middle-age adults aged 40 to 65 years at study entry [20]. The participants for the present study were selected on the basis of having completed a baseline WRAP visit and a subsequent magnetic resonance imaging (MRI) scan. The sample was enriched for a parental family history of AD (FH; 71.3%) and possession of the $\epsilon 4$ allele of the apolipoprotein E gene (APOE4; 42.1%). The methods for determining FH have been described previously [21]. In brief, to verify the diagnosis of AD in the parent, the parental medical records were obtained (including autopsy reports when available) and reviewed by a multidisciplinary diagnostic consensus panel. When these records were not available, the dementia questionnaire [22] was used. The absence of an FH of AD was verified through detailed medical history surveys and telephone interview (including the dementia questionnaire) with the participants. The inclusion in the FH group required that the father had survived to at least age 70 years and the mother to age 75 years, without incurring a formal diagnosis of dementia or exhibiting cognitive deterioration. Women comprised 67.4% of the sample, and the average age at baseline was 54.30 ± 6.44 years (Table 1 provides a summary of

Table 1
Background characteristics of study participants

Variable	SMC+ (n = 77)	SMC- (n = 184)	P value
FH positive (%)	77.9	68.5	.124
APOE4 positive (%)	46.8	40.2	.329
Female sex (%)	67.5	67.4	.982
White race (%)	94.8	96.2	.610
Age (y)			.925
Mean \pm SD	54.33 \pm 6.10	54.41 \pm 6.44	
Range	41.89–66.40	40.31–67.56	
Education (y)			.763
Mean \pm SD	15.97 \pm 2.25	16.16 \pm 2.33	
Range	12–20	12–22	
MMSE score			.707
Mean \pm SD	29.43 \pm 0.80	29.53 \pm 0.88	
Range	27–30	25–30	
CES-D score \geq 16	14.5	2.7	< .001
Interval between WRAP visit and MRI (y)			.750
Mean \pm SD	5.37 \pm 1.87	5.52 \pm 1.81	
Range	0.00–9.00	0.00–9.54	

Abbreviations: FH, parental family history of Alzheimer’s disease; APOE4, varepsilon 4 allele of apolipoprotein E gene; MMSE, Mini Mental State Examination; CES-D, Center for Epidemiological Studies Depression Scale (16 is the established cutpoint for elevated depressive symptoms [31]); MRI, magnetic resonance imaging; SMC, subjective memory complaint; WRAP, Wisconsin Registry for Alzheimer’s Prevention.

NOTE. All measurements were taken from the baseline visit, except for the MMSE, which was first given at the Wave 2 visit (approximately 4 years after baseline).

the participants' characteristics). The study exclusion criteria were a major neurologic disorder (e.g., head trauma with loss of consciousness, neoplasms, and seizure disorders), current (i.e., within the previous 12 months) major psychiatric disease (e.g., major depression, bipolar I, schizophrenia) at both the WRAP visit and the MRI scan, MRI contraindications, and abnormal MRI findings (e.g., ventriculomegaly). The University of Wisconsin institutional review board approved all study procedures, and each subject provided signed informed consent before participation.

2.2. Subjective memory measure

As a part of their baseline WRAP visit, the participants completed a general health questionnaire that included an item that inquired about SMCs. Specifically, the participants were asked, "Do you think you have a problem with your memory?" The participants who responded "yes" ($n = 77$) were considered to have SMCs (i.e., SMC+), and those who responded "no" ($n = 184$) were considered to not have SMCs (i.e., SMC-).

2.3. Neuroimaging protocol

The MRI scans were acquired on a GE x750 3.0 T scanner with an eight-channel phased array head coil (General Electric, Waukesha, WI). The protocol featured a three-dimensional T_1 -weighted inversion recovery-prepared spoiled gradient-recalled echo volume collected using the following parameters: inversion time, echo time, and repetition time of 450 ms, 3.2 ms, and 8.2 ms, respectively; flip angle of 12° ; slice thickness 1 mm, no gap; field of view 256; matrix size of 256×256 . The mean interval between the WRAP visit and the MRI scan was 5.48 ± 1.82 years.

Cortical reconstruction and volumetric segmentation was done using the FreeSurfer software package, version 5.1.0 (available at: <http://surfer.nmr.mgh.harvard.edu/>). The technical details of these procedures have been previously described [23–26]. In brief, the T_1 -weighted spoiled gradient-recalled echo acquisitions were skull stripped and transformed into Talairach space. Next, surface meshes were created, which are defined by the gray/white matter boundary (the white matter surface) and the gray/cerebrospinal fluid boundary (the pial surface) [27]. Parcellation and segmentation were completed using a predefined atlas [28]. Subcortical volume measures were acquired from the segmentations, and cortical thickness measurements were obtained by calculating the distance along a normal vector from each vertex in the white matter surface to the pial surface. The thickness values at each vertex within a region of interest (ROI) were averaged to obtain the thickness of the ROI. A summary measure for each ROI was then derived by averaging the values from the right and left hemispheres. Each FreeSurfer output was visually inspected to ensure that the cortical reconstruction was accurate and without topo-

logic defects. Our analyses focused on select ROIs known to be affected early in the AD cascade, such as the hippocampus and posterior cingulate (Table 2).

2.4. Cognitive assessment

At their baseline WRAP visit, the participants completed a comprehensive neuropsychological battery that included psychometric measures spanning the traditional cognitive domains of memory, attention, executive function, language, and visuospatial ability. Earlier factor analytic studies [29,30] of these psychometric measures within the larger WRAP cohort showed that these tests map onto six cognitive factors [$\sim N(0,1)$]: Immediate Memory, Verbal Learning & Memory, Working Memory, Speed & Flexibility, Visuospatial Ability, and Verbal Ability (each factor's constituent tests are listed in Table 3). These factor scores were used in our present evaluation of the association between SMCs and cognition. In addition to these cognitive tests, participants also completed the Center for Epidemiological Studies Depression questionnaire (CES-D).

2.5. Statistical analysis

Group differences on baseline demographic measures were tested using the independent samples t test or chi-square analyses, as appropriate. We used analyses of covariance (ANCOVA) to test for group differences (SMC+ versus SMC-) on our a priori ROIs. For the thickness measures, we adjusted for age, sex, and the interval between the cognitive assessment and brain imaging studies. For volumetric measures, the covariates included age, sex, total intracranial volume, and the interval between the cognitive assessment and brain imaging. Similarly, we used an ANCOVA framework to assess for group differences in the neuropsychological measures. The covariates included age, sex, and education. All relevant model assumptions (e.g., normality and homogeneity of variance) were evaluated

Table 2
Association between SMCs and cortical thickness

Anatomic	SMC+ ($n = 77$)	SMC- ($n = 184$)	P value
Hippocampal volume	3930.77 ± 384.04	3970.48 ± 383.88	.447
Amygdala volume	1572.17 ± 195.31	1636.80 ± 195.264	.016
Entorhinal	3.37 ± 0.26	3.45 ± 0.02	.026
Fusiform	2.62 ± 0.09	2.65 ± 0.01	.044
Parahippocampal	2.69 ± 0.26	2.71 ± 0.02	.395
Cingulate isthmus	2.51 ± 0.18	2.54 ± 0.01	.265
Posterior cingulate	2.58 ± 0.18	2.62 ± 0.01	.043
Precuneus	2.38 ± 0.09	2.40 ± 0.14	.193
Supramarginal	2.45 ± 0.09	2.46 ± 0.14	.332
Inferior parietal	2.43 ± 0.09	2.46 ± 0.14	.036

Abbreviation: SMC, subjective memory complaint.

NOTE. All data presented as estimated mean \pm standard deviation. For the thickness measures, statistical adjustment was made for age, sex, and the interval between the cognitive assessment and brain imaging. For the volume measures, the covariates included age, sex, total intracranial volume, and interval between cognitive assessment and brain imaging.

Table 3
Association between SMCs and objective cognitive performance

Variable	SMC+ (n = 77)	SMC– (n = 184)	P value
Immediate Memory	–0.170 ± 0.97	0.174 ± 0.95	.007
RAVLT Trial 1			
RAVLT Trial 2			
Verbal Learning & Memory	–0.192 ± 0.97	0.108 ± 0.95	.024
RAVLT Trial 3			
RAVLT Trial 4			
RAVLT Trial 5			
RAVLT Long Delay			
Working Memory	–0.132 ± 1.05	0.126 ± 1.09	.068
WAIS Digit Span Forward			
WAIS Digit Span Backward			
WAIS Letter-Number Sequencing			
Speed & Flexibility	0.060 ± 0.88	0.122 ± 0.95	.615
Stroop Color-Word			
Trail Making Test A			
Trail Making Test B			
Visuospatial Ability	0.016 ± 0.88	0.216 ± 0.95	.096
WASI Block Design			
WASI Matrix Reasoning			
Benton JLO			
Verbal Ability	0.001 ± 0.79	0.239 ± 0.81	.035
WASI Vocabulary			
WASI Similarities			
Boston Naming Test			
WRAT III—Reading			

Abbreviations: RAVLT, Rey Auditory Verbal Learning Test; WAIS, Wechsler Adult Intelligence Scale; WASI, Wechsler Abbreviated Scale of Intelligence; JLO, Judgment of Line Orientation; WRAT III, Wide-Range Achievement Test, 3rd edition.

NOTE. Data presented as estimated mean ± standard deviation. Statistical adjustment was made for age, sex, and education.

and found to be satisfactorily met. The analyses were performed using SPSS, version 20.0 (IBM Corp., Armonk, NY). Only findings with a 2-tailed P value $\leq .05$ were considered significant.

3. Results

3.1. Background characteristics

The SMC+ and SMC– groups did not differ significantly on age, sex, FH, APOE4 status, education, or global cognition. Significantly more people with CES-D scores ≥ 16 (the established cutpoint for elevated depressive symptoms [31]) were in the SMC+ group ($n = 11$) compared with the SMC– group ($n = 5$). These results are summarized in Table 1.

3.2. Association between SMCs and brain structure

The ANCOVA used to examine the group differences in brain structure revealed that, compared with the SMC– group, the SMC+ group had a significantly thinner cortex in the entorhinal, fusiform, posterior cingulate, and inferior

parietal cortices. In addition, the amygdala volume was significantly lower in the SMC+ group than in the SMC– group. Although the groups did not differ significantly in the other brain regions, a consistent trend was observed, such that the SMC+ group had lower values than the SMC– group. These results are listed in Table 2. When we also adjusted for FH and APOE4 status, the results remained unchanged with the exception that the posterior cingulate finding became marginally significant ($P = .085$).

3.3. Association between SMCs and objective cognitive function

The results of the comparisons between the SMC+ and SMC– groups on objective cognitive measures are listed in Table 3. The SMC+ individuals had poorer test scores than those in the SMC– group in the Immediate Memory, Verbal Learning & Memory, and Verbal Ability domains. A trend toward a poorer Working Memory ($P = .068$) and Visuospatial Ability ($P = .096$) in the SMC+ group was also observed. However, we noted that—consistent with this being a cognitively normal cohort—the mean test scores within the SMC+ group were not lower than 0.2 standard deviation below the mean for any cognitive domain (the typical cutpoint for abnormal cognitive test scores was ≥ 1.5 standard deviations less than the reference mean). Just as with the brain structure analysis, we also adjusted for FH and APOE4 status in the models. The only change to the initial results was Verbal Ability, which had decreased to a trend ($P = .065$).

3.4. Secondary analyses

Because the study entry criteria excluded persons with a history of depressive disorders, the observed group difference on the CES-D was not deemed clinically meaningful. However, we opted to perform follow-up sensitivity analyses to determine whether and to what extent our initial findings were driven by elevated depressive symptoms, given emerging evidence that SMCs might be linked to depression [12,32].

We began by running Pearson's correlations to examine the associations between our brain/cognitive measures and the CES-D scores (dichotomized at ≥ 16). This was founded on the statistical premise that, if the CES-D scores were not associated with the outcomes, the elevated depressive symptoms could not be the primary underlying reason for the observed associations between SMCs and the outcomes [33]. Next, if any of the brain/cognitive measures were found to correlate significantly with the CES-D, we ran the original ANCOVA analyses again, including CES-D as an additional covariate.

The Pearson's correlations showed that only Verbal Ability ($r = -0.14$, $P = .024$) and entorhinal cortex thickness ($r = -0.13$, $P = .037$) were significantly associated with the CES-D scores. When the ANCOVAs were refit,

additionally adjusting for the CES-D score, the SMC+ group continued to exhibit lower scores for both Verbal Ability and entorhinal cortex thickness than the SMC– group. However, these between-group differences became marginally significant ($P = .076$ and $P = .061$, respectively). Of interest, however, the CES-D was not significantly associated with either measure [$P = .290$ ($\Delta R^2 = .003$) and $P = .269$ ($\Delta R^2 = .005$), respectively] in these refitted ANCOVAs. Because the essence of our original SMC findings persisted on correction for elevated depressive symptoms, it appears those initial findings were not primarily driven by differentials in the depressive symptoms between the 2 groups.

Finally, we repeated these sensitivity analyses using the CES-D scores obtained from the WRAP visit closest to the time of the MRI scan (6.84 ± 6.12 months), to determine whether and to what extent our findings were driven by “MRI-concurrent” depressive symptoms. Pearson’s correlations showed no significant associations ($P > .112$) between these MRI-concurrent CES-D scores and our outcome measures, suggesting that any depressive symptoms at MRI scanning were unlikely to be the underlying reason for the observed associations between the presence of SMCs and the outcomes [33].

4. Discussion

In the present study, we found that middle-age individuals with SMCs have a thinner cortex in AD-vulnerable brain regions, such as the entorhinal, fusiform, inferior parietal, and posterior cingulate cortices and had a reduced amygdala volume compared with their peers without SMCs. In addition, we observed that objective cognitive test scores were decreased in individuals with SMCs compared with those without. Specifically, the measures of Immediate Memory, Verbal Learning & Memory, and Verbal Ability were all significantly lower in those with SMCs.

An increasing number of studies have investigated structural brain changes in SMCs [32,34–36]. In one such study, conducted in a large community-based sample, SMCs were associated with cross-sectional decrements in hippocampal, parahippocampal, and amygdalar volumes [35] and longitudinal hippocampal volume loss 4 years later [36]. Similarly, a study of individuals referred to a memory clinic found that those with SMCs had a significantly smaller right hippocampal volume than did the controls [32]. Additionally, another study [34] found that individuals with SMCs had a smaller hippocampal and parahippocampal volume than did those without SMCs. Our findings of significant cortical thinning of AD-relevant cortices complement these volumetric studies. A previous study [12] had also found that SMC+ individuals exhibited a lower entorhinal cortex volume but not a lower hippocampal volume compared with the SMC– individuals. This is in accord with the known topographic progression of neurodegenerative changes in AD, which starts in the transentorhinal region and then

moves to the entorhinal cortex, before affecting the hippocampus [37–39]. This topographic sequence might explain why the extent of entorhinal atrophy has been shown to better identify cognitively normal individuals at risk of developing AD compared with hippocampal atrophy [40–42].

Although we did not directly examine the other imaging biomarkers of AD in the present study, the current hypothetical models of AD pathophysiological changes suggest that brain structure changes occur later in the AD cascade than alterations in amyloid- β and glucose metabolism [43]. Therefore, our observed group differences in brain structure would suggest that cerebral amyloidosis and/or hypometabolism in AD-related brain regions might be detectable in individuals with SMCs. An increasing number of studies have reported evidence for such disease-related changes [5,7,15,32]. Perrotin et al [15] found that individuals with SMCs had increased fibrillary amyloid deposition in the right posterior cingulate and precuneus, and another study [32] observed hypometabolism in the right parahippocampal gyrus, right hippocampus, and bilateral precuneus in older adults with SMCs. These findings, combined with the findings from our study, provide new neuroimaging evidence of cortical thinning in AD-vulnerable brain regions, adds to the hypothesis that individuals with SMCs might represent a population at increased risk of eventual progression to probable AD [43–47].

Investigations of the link between SMCs and objective cognitive performance are an active area of research, and the emerging evidence has been heterogeneous [4,5,12,32,48]. Congruent with our study, Amariglio et al [5] found SMCs were associated with decreased episodic and working memory. Similarly, Scheef et al [32] found a decline in episodic and immediate verbal memory in a population with SMCs. Another longitudinal study has also provided evidence for a decline in the measures of working memory and perceptual motor skills in individuals with SMCs [4]. Our observation of comparatively decreased performance in Immediate Memory, Verbal Learning & Memory, and Verbal Ability in individuals with SMCs is in accordance with the findings from these previous studies. Similar to medial temporal lobe structural alterations, impairment in episodic memory is an early feature of AD [49]. Therefore, our finding of concomitant decreases in episodic memory and mesial temporal cortical thickness in our SMC+ participants increases the possibility that these individuals might be in the very early stages of the AD cascade. Because the WRAP is an ongoing study, we will be well positioned to investigate the long-term prognostic utility of early subjective complaints.

We observed no significant group differences in APOE4 status, FH, age, education, or sex, indicating that those with these risk factors for AD are not any more likely to report SMCs in midlife than those without these risk factors. This is in agreement with most studies of SMCs [12,15,32,48]. However, the absence of a FH differential

between our SMC groups was rather surprising. Individuals with a FH are typically aware they harbor this risk factor, which is not always the case for other risk factors, such as APOE4 status. This awareness, and the experience of observing the disease course in their affected relatives, often leads to a heightened sensitivity to what might otherwise be normal variability in cognitive functioning [50,51]. Therefore, if SMCs were merely a symptom of a “worried well” population, one would have expected that persons with a FH would disproportionately endorse subjective memory failures [52]. The absence of such an association in our sample suggests that, at least in some contexts, a simple inquiry into SMCs might have clinical validity for identifying the subset of cognitively normal persons who might truly be experiencing objective cognitive difficulties and associated brain changes and thus have a greater risk of future progression to AD.

Our observation of greater depressive symptoms in the SMC+ group parallels reports from other investigators [7,12,32,34,53]. A recent study by Steinberg et al [53] found that scores on a questionnaire pertaining to SMCs were associated with measures of depressive symptoms. Additionally, other investigators [12,32] have found individuals with SMCs to have significantly higher scores on measures of depression compared with controls. In both these latter studies, differences between the SMC– and SMC+ groups for gray matter volume, cerebral glucose metabolism, and cognitive performance persisted even after adjustment for depressive symptoms. Similarly, although we found the CES-D scores to bivariate correlate with two of our outcome measures (Verbal Ability and entorhinal cortex thickness), when we included the CES-D scores in the original multivariable statistical model, it failed to be associated with either of these measures, and the essence of our original SMC findings persisted. When this negative CES-D finding is placed in the context of our study's entry criteria, which excluded persons with major depression and other major psychiatric disorders, it appears rather improbable that the memory complaints were driven by clinical depression in our study. Although some evidence has shown that mid-to-late-life depressive symptoms are associated with cortical thinning in the parietal and temporal regions [54] and might even be a prodrome for dementing disorders [55]. It would be of future interest to determine whether greater depressive symptoms at baseline are associated with prospective changes in brain health and cognition within our cohort [56].

Our study had some limitations. Although the cross-sectional nature of our study has provided insight into the initial brain and cognitive changes that might be differentially occurring in middle-age individuals with SMCs, longitudinal studies are needed for a full understanding of these initial findings. Given that the WRAP is ongoing, we will have the data to determine whether asymptomatic individuals with SMCs are more likely to transition to the symptomatic stages of the AD cascade. Also, the WRAP cohort is

predominantly composed of highly educated, non-Hispanic white individuals. Therefore, it is not known whether our measure of SMCs would be similarly associated with brain structure and objective cognition in a more heterogeneous middle-age sample. Additionally, a single question about subjective complaints, such as that used in the present study, might be vulnerable to temporal instability and might fail to adequately capture the underlying complexity that these complaints represent. Extensive precedent is present in the published data, however, for such single-item measures of subjective complaints, such as was documented in the recent white paper from the Subjective Cognitive Decline Initiative Working Group [1]. A major goal of the working group is to institute common standards for the burgeoning SMC field. These common standards, once established, will help guide future work, including our own, on SMCs.

In conclusion, our results have indicated that at-risk, cognitively normal, middle-age individuals with SMCs exhibit cortical thinning in the brain regions affected by AD and poorer objective scores—albeit within normal limits—on memory tests. These results add to the increasing body of published data, suggesting that individuals with SMCs might be at increased risk of progression to AD. A simple question about subjective memory, such as the one asked in the present study, could be easily incorporated into busy clinical practices, with potential to be useful for the early identification of at-risk older adults, especially when used in conjunction with other pertinent health and clinical information. Such individuals might then be potential candidates for clinical trials investigating disease-modifying interventions for AD.

Acknowledgments

This work was supported by National Institute on Aging grants K23 AG045957 (to O.C.O.), R01 AG027161 (to M.A.S.), R01 AG021155 (to S.C.J.), P50 AG033514 (to S.A.), and P50 AG033514-S1 (to O.C.O.); by a Veterans Administration Merit Review grant I01CX000165 (to S.C.J.); and by Clinical and Translational Science Awards (grants UL1RR025011 and UL1TR00427) to the University of Wisconsin, Madison. Portions of this research were supported by the Wisconsin Alumni Research Foundation, the Helen Bader Foundation, Northwestern Mutual Foundation, Inc., Extencicare Foundation, and the Veterans Affairs Department, including facilities and resources at the Geriatric Research Education and Clinical Center of the William S. Middleton Memorial Veterans Hospital, Madison, WI. We thank Caitlin A. Cleary, BSc, Sandra Harding, MS, Jennifer Bond, BA, Janet Rowley, BS, and the WRAP psychometrists for assistance with study data collection. In addition, we gratefully acknowledge the support of the researchers and staff at the Waisman Center, University of Wisconsin–Madison, where the brain scans were performed. Finally, we thank participants in the Wisconsin Registry for Alzheimer's Prevention for their continued dedication.

RESEARCH IN CONTEXT

1. Systematic review: We searched PubMed using the terms: “subjective memory complaint,” “SMC,” “SMI,” “subjective cognitive impairment,” “subjective memory impairment,” “subjective cognitive complaints” and “Alzheimer’s disease,” or “dementia.”
2. Interpretation: Early detection of individuals at increased risk of developing dementia is of major scientific and clinical interest. Our study finds that middle-age, asymptomatic, persons with SMCs have a thinner cortex in brain regions affected by Alzheimer’s disease compared with persons without such subjective complaints. SMCs were also associated with objective cognitive performance in our cohort. These findings support the use of SMCs as a potential method for identifying cognitively normal persons with subtle brain and cognitive changes that might be indicative of incipient Alzheimer’s disease.
3. Future directions: Continued follow-up of our cohort will allow us to determine whether asymptomatic individuals with SMCs will transition into symptomatic stages of the AD cascade.

References

- [1] Jessen F, Amariglio RE, van Boxtel M, Breteler M, Ceccaldi M, Chetelat G, et al. A conceptual framework for research on subjective cognitive decline in preclinical Alzheimer’s disease. *Alzheimers Dement* 2014;10:844–52.
- [2] Flicker C, Ferris SH, Reisberg B. A longitudinal study of cognitive function in elderly persons with subjective memory complaints. *J Am Geriatr Soc* 1993;41:1029–32.
- [3] Gino S, Mendes T, Maroco J, Ribeiro F, Schmand BA, de Mendonca A, et al. Memory complaints are frequent but qualitatively different in young and elderly healthy people. *Gerontology* 2010;56:272–7.
- [4] Reisberg B, Shulman MB, Torossian C, Leng L, Zhu W. Outcome over seven years of healthy adults with and without subjective cognitive impairment. *Alzheimers Dement* 2010;6:11–24.
- [5] Amariglio RE, Becker JA, Carmasin J, Wadsworth LP, Lorus N, Sullivan C, et al. Subjective cognitive complaints and amyloid burden in cognitively normal older individuals. *Neuropsychologia* 2012;50:2880–6.
- [6] van Harten AC, Smits LL, Teunissen CE, Visser PJ, Koene T, Blankenstein MA, et al. Preclinical AD predicts decline in memory and executive functions in subjective complaints. *Neurology* 2013; 81:1409–16.
- [7] Hohman TJ, Beason-Held LL, Lamar M, Resnick SM. Subjective cognitive complaints and longitudinal changes in memory and brain function. *Neuropsychology* 2011;25:125–30.
- [8] Dickerson BC, Bakkour A, Salat DH, Feczko E, Pacheco J, Greve DN, et al. The cortical signature of Alzheimer’s disease: Regionally specific cortical thinning relates to symptom severity in very mild to mild AD dementia and is detectable in asymptomatic amyloid-positive individuals. *Cereb Cortex* 2009;19:497–510.
- [9] Chetelat G, Baron JC. Early diagnosis of Alzheimer’s disease: Contribution of structural neuroimaging. *Neuroimage* 2003;18:525–41.
- [10] Du AT, Schuff N, Kramer JH, Rosen HJ, Gorno-Tempini ML, Rankin K, et al. Different regional patterns of cortical thinning in Alzheimer’s disease and frontotemporal dementia. *Brain* 2007;130(Pt 4):1159–66.
- [11] Ye BS, Seo SW, Kim CH, Jeon S, Kim GH, Noh Y, et al. Hippocampal and cortical atrophy in amyloid-negative mild cognitive impairments: Comparison with amyloid-positive mild cognitive impairment. *Neurobiol Aging* 2014;35:291–300.
- [12] Jessen F, Feyen L, Freymann K, Tepest R, Maier W, Heun R, et al. Volume reduction of the entorhinal cortex in subjective memory impairment. *Neurobiol Aging* 2006;27:1751–6.
- [13] Hafkemeijer A, Altmann-Schneider I, Oleksik AM, van de Wiel L, Middelkoop HA, van Buchem MA, et al. Increased functional connectivity and brain atrophy in elderly with subjective memory complaints. *Brain Connect* 2013;3:353–62.
- [14] Rodda J, Okello A, Edison P, Dannhauser T, Brooks DJ, Walker Z. (11) C-PIB PET in subjective cognitive impairment. *Eur Psychiatry* 2010; 25:123–5.
- [15] Perrotin A, Mormino EC, Madison CM, Hayenga AO, Jagust WJ. Subjective cognition and amyloid deposition imaging: A Pittsburgh compound B positron emission tomography study in normal elderly individuals. *Arch Neurol* 2012;69:223–9.
- [16] Dickerson BC, Feczko E, Augustinack JC, Pacheco J, Morris JC, Fischl B, et al. Differential effects of aging and Alzheimer’s disease on medial temporal lobe cortical thickness and surface area. *Neurobiol Aging* 2009;30:432–40.
- [17] Fotenos AF, Snyder AZ, Girton LE, Morris JC, Buckner RL. Normative estimates of cross-sectional and longitudinal brain volume decline in aging and AD. *Neurology* 2005;64:1032–9.
- [18] Lemaitre H, Goldman AL, Sambataro F, Verchinski BA, Meyer-Lindenberg A, Weinberger DR, et al. Normal age-related brain morphometric changes: Nonuniformity across cortical thickness, surface area and gray matter volume. *Neurobiol Aging* 2012; 33:617.e11–9.
- [19] Salat DH, Buckner RL, Snyder AZ, Greve DN, Desikan RS, Busa E, et al. Thinning of the cerebral cortex in aging. *Cereb Cortex* 2004;14:721–30.
- [20] Sager MA, Hermann B, La Rue A. Middle-aged children of persons with Alzheimer’s disease: APOE genotypes and cognitive function in the Wisconsin Registry for Alzheimer’s Prevention. *J Geriatr Psychiatry Neurol* 2005;18:245–9.
- [21] La Rue A, Hermann B, Jones JE, Johnson S, Asthana S, Sager MA. Effect of parental family history of Alzheimer’s disease on serial position profiles. *Alzheimers Dement* 2008;4:285–90.
- [22] Silverman JM, Keefe RS, Mohs RC, Davis KL. A study of the reliability of the family history method in genetic studies of Alzheimer disease. *Alzheimer Dis Assoc Disord* 1989;3:218–23.
- [23] Fischl B, Sereno MI, Dale AM. Cortical surface-based analysis. II: Inflation, flattening, and a surface-based coordinate system. *Neuroimage* 1999;9:195–207.
- [24] Fischl B, Salat DH, Busa E, Albert M, Dieterich M, Haselgrove C, et al. Whole brain segmentation: Automated labeling of neuroanatomical structures in the human brain. *Neuron* 2002;33:341–55.
- [25] Dale AM, Fischl B, Sereno MI. Cortical surface-based analysis. I. Segmentation and surface reconstruction. *Neuroimage* 1999;9:179–94.
- [26] Jovicich J, Czanner S, Greve D, Haley E, van der Kouwe A, Gollub R, et al. Reliability in multi-site structural MRI studies: Effects of gradient non-linearity correction on phantom and human data. *Neuroimage* 2006;30:436–43.
- [27] Fischl B, Dale AM. Measuring the thickness of the human cerebral cortex from magnetic resonance images. *Proc Natl Acad Sci U S A* 2000;97:11050–5.
- [28] Desikan RS, Segonne F, Fischl B, Quinn BT, Dickerson BC, Blacker D, et al. An automated labeling system for subdividing the human cerebral cortex on MRI scans into gyral based regions of interest. *Neuroimage* 2006;31:968–80.

- [29] Dowling NM, Hermann B, La Rue A, Sager MA. Latent structure and factorial invariance of a neuropsychological test battery for the study of preclinical Alzheimer's disease. *Neuropsychology* 2010; 24:742–56.
- [30] Kosciak RL, La Rue A, Jonaitis EM, Okonkwo OC, Johnson SC, Bendlin BB, et al. Emergence of mild cognitive impairment in late middle-aged adults in the Wisconsin Registry for Alzheimer's Prevention. *Dement Geriatr Cogn Disord* 2014;38:16–30.
- [31] Radloff LS. The CES-D scale: A self-report depression scale for research in the general population. *Appl Psychological Measure* 1977;1:385–401.
- [32] Scheef L, Spottke A, Daerr M, Joe A, Striepens N, Kolsch H, et al. Glucose metabolism, gray matter structure, and memory decline in subjective memory impairment. *Neurology* 2012;79:1332–9.
- [33] Cohen J, Cohen P, West SG, Aiken LS. *Applied Multiple Regression/Correlation Analysis for the Behavioral Sciences*. 3rd ed. Hillsdale, NJ: Lawrence Erlbaum Associates; 2003.
- [34] Saykin AJ, Wishart HA, Rabin LA, Santulli RB, Flashman LA, West JD, et al. Older adults with cognitive complaints show brain atrophy similar to that of amnesic MCI. *Neurology* 2006;67:834–42.
- [35] Stewart R, Dufouil C, Godin O, Ritchie K, Maillard P, Delcroix N, et al. Neuroimaging correlates of subjective memory deficits in a community population. *Neurology* 2008;70:1601–7.
- [36] Stewart R, Godin O, Crivello F, Maillard P, Mazoyer B, Tzourio C, et al. Longitudinal neuroimaging correlates of subjective memory impairment: 4-Year prospective community study. *Br J Psychiatry* 2011;198:199–205.
- [37] Braak H, Braak E. Evolution of the neuropathology of Alzheimer's disease. *Acta Neurol Scand Suppl* 1996;165:3–12.
- [38] Braak H, Braak E. Neuropathological staging of Alzheimer-related changes. *Acta Neuropathol* 1991;82:239–59.
- [39] Nagy Z, Hindley NJ, Braak H, Braak E, Yilmazer-Hanke DM, Schultz C, et al. Relationship between clinical and radiological diagnostic criteria for Alzheimer's disease and the extent of neuropathology as reflected by "stages": A prospective study. *Dement Geriatr Cogn Disord* 1999;10:109–14.
- [40] de Toledo-Morrell L, Goncharova I, Dickerson B, Wilson RS, Bennett DA. From healthy aging to early Alzheimer's disease: In vivo detection of entorhinal cortex atrophy. *Ann N Y Acad Sci* 2000;911:240–53.
- [41] Killiany RJ, Hyman BT, Gomez-Isla T, Moss MB, Kikinis R, Jolesz F, et al. MRI measures of entorhinal cortex vs hippocampus in preclinical AD. *Neurology* 2002;58:1188–96.
- [42] Rodrigue KM, Raz N. Shrinkage of the entorhinal cortex over five years predicts memory performance in healthy adults. *J Neurosci* 2004;24:956–63.
- [43] Sperling RA, Aisen PS, Beckett LA, Bennett DA, Craft S, Fagan AM, et al. Toward defining the preclinical stages of Alzheimer's disease: Recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimers Dement* 2011;7:280–92.
- [44] Geerlings MI, Jonker C, Bouter LM, Ader HJ, Schmand B. Association between memory complaints and incident Alzheimer's disease in elderly people with normal baseline cognition. *Am J Psychiatry* 1999;156:531–7.
- [45] Gifford KA, Liu D, Lu Z, Tripodis Y, Cantwell NG, Palmisano J, et al. The source of cognitive complaints predicts diagnostic conversion differentially among nondemented older adults. *Alzheimers Dement* 2014;10:319–27.
- [46] Jessen F, Wolfsgruber S, Wiese B, Bickel H, Mosch E, Kaduszkiewicz H, et al. AD dementia risk in late MCI, in early MCI, and in subjective memory impairment. *Alzheimers Dement* 2014;10:76–83.
- [47] Wang L, van Belle G, Crane PK, Kukull WA, Bowen JD, McCormick WC, et al. Subjective memory deterioration and future dementia in people aged 65 and older. *J Am Geriatr Soc* 2004; 52:2045–51.
- [48] Peter J, Scheef L, Abdulkadir A, Boecker H, Heneka M, Wagner M, et al. Gray matter atrophy pattern in elderly with subjective memory impairment. *Alzheimers Dement* 2014;10:99–108.
- [49] Collie A, Maruff P. The neuropsychology of preclinical Alzheimer's disease and mild cognitive impairment. *Neurosci Biobehav Rev* 2000;24:365–74.
- [50] Jarvik LF, Blazer D. Children of Alzheimer patients: An overview. *J Geriatr Psychiatry Neurol* 2005;18:181–6.
- [51] Jarvik L, LaRue A, Blacker D, Gatz M, Kawas C, McArdle JJ, et al. Children of persons with Alzheimer disease: What does the future hold? *Alzheimer Dis Assoc Disord* 2008;22:6–20.
- [52] Tsai DH, Green RC, Benke KS, Silliman RA, Farrer LA. Predictors of subjective memory complaint in cognitively normal relatives of patients with Alzheimer's disease. *J Neuropsychiatry Clin Neurosci* 2006;18:384–8.
- [53] Steinberg SI, Negash S, Sammel MD, Bogner H, Harel BT, Livney MG, et al. Subjective memory complaints, cognitive performance, and psychological factors in healthy older adults. *Am J Alzheimers Dis Other Dement* 2013;28:776–83.
- [54] Truong W, Minuzzi L, Soares CN, Frey BN, Evans AC, MacQueen GM, et al. Changes in cortical thickness across the lifespan in major depressive disorder. *Psychiatry Res* 2013;214:204–11.
- [55] Barnes DE, Yaffe K, Byers AL, McCormick M, Schaefer C, Whitmer RA. Midlife vs late-life depressive symptoms and risk of dementia: Differential effects for Alzheimer disease and vascular dementia. *Arch Gen Psychiatry* 2012;69:493–8.
- [56] Ries ML, Wichmann A, Bendlin BB, Johnson SC. Posterior cingulate and lateral parietal gray matter volume in older adults with depressive symptoms. *Brain Imaging Behav* 2009;3:233–9.